

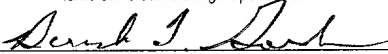
Attorney Docket No.: 10766/00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application of: John NAFEH and Kenton K. YEE
For: METHODS AND APPARATUS FOR
FORMULATION, INITIAL PUBLIC OR
PRIVATE OFFERING, AND SECONDARY
MARKET TRADING OF RISK MANAGEMENT
CONTRACTS
U.S. Serial No.: To Be Assigned
Filed: Concurrently
Group Art Unit: To Be Assigned
Examiner: To Be Assigned

BOX PATENT APPLICATION
Assistant Director
for Patents
Washington, D.C. 20231

EXPRESS MAIL MAILING LABEL NO: EL 794575380 US DATE OF DEPOSIT = AUGUST 6, 2001 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to BOX PATENT APPLICATION, Assistant Director for Patents, Washington, DC 20231. Derrick T. Gordon Name of Person Mailing Paper or Fee  Signature August 6, 2001 Date of Signature

Dear Sir:

TRANSMITTAL OF PrintEFS PATENT APPLICATION


BIBLIOGRAPHIC DATA

Submitted herewith is bibliographic data (2 pages) for
the above-identified application, in the PrintEFS Version

Attorney Docket No.: 10766/00103

1.0.1 program format.

Respectfully submitted,



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TNT:pm

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August 6, 2001

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CORRESPONDENCE INFORMATION

APPLICATION INFORMATION

REPRESENTATIVE INFORMATION

Representative Customer Number:: 26116

Source:: PrintEFS Version 1.0.1

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